



## **GOODWOOD BUSINESS CONTINUITY PLAN**

Goodwood Inc. (“Goodwood”) has developed a Business Continuity Plan (“BCP”) designed to provide the means for Goodwood to continue to operate in the event of a disruption to its main facility. Business Continuity Planning is just one component of our overall risk management function.

The BCP plan is intended to cover all aspects of Goodwood’s business functions and address the loss or failure of any factor on which critical operations depend on. In the event of an emergency, Goodwood’s main objectives are to protect the assets of the firm, protect our unitholders, our employees and, in the unlikely event of a significant disruption, resume effective business operations as quickly as possible.

Goodwood’s business recovery plan provides the procedures to ensure that we:

1. Maintain all critical business functions and manage recovery;
2. Maintain capacity to operate at a level of business activity that provides acceptable service to our Unitholders;
3. Provide safeguards to minimize the risks and costs and duration of disruption;
4. Identify procedures and technology required to restore business operations.

If our primary site is inoperable, we will continue operations from our back-up site or an alternate location. We also have some functionality from home through internet connections with our LAN.

In the event a disaster is declared and the decision has been made to activate the BCP, we will notify our Unitholders. We currently communicate with our clients using the telephone, e-mail, our website, fax and registered mail. In the event of a sudden business disruption we will assess which forms of communication are still available to us and use the means which will reach the client the fastest. We will also contact other dealers that have clients in our Funds to notify them. If possible, we will post a message on our website and also leave a voice message on the answering machine of our investor and dealer services for investors that may try to call in.

Goodwood feels strongly that testing the BCP is good practice and the key to success in a business interruption scenario. The BCP will be reviewed and tested on an annual basis, or sooner as necessary if there are any material changes in the business or technology related functions.

A full copy of Goodwood’s Business Continuity Plan is available upon request.